

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Attorney's Docket Number: 6556.0003-01

Prior Application:

*Pre-emptive*

*44*

*12*

*7-14-96*

Art Unit: Unassigned

Examiner: Unassigned

SIR: This is a request for filing a

☐ Continuation ☒ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 08/941,187 filed September 30, 1997 of John POLK for METHOD AND APPARATUS FOR PAYMENT PROCESSING USING DEBIT-BASED ELECTRONIC FUNDS TRANSFER AND DISBURSEMENT PROCESSING USING ADDENDUM-BASED ELECTRONIC DATA INTERCHANGE.

1. ☒ Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior application Serial No. 08/941,187 as originally filed on September 30, 1997.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☒ Cancel Claims 2-126.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

For -	:	Number Filed	:	Number Extra	:	Rate	:	Basic Fee \$790.00
Total	:		:		:		:	
Claims	:	15-20=	:	00	:	x\$ 22.00=	:	\$ 00
Independent	:		:		:		:	
Claims	:	3 - 3 =	:	00	:	x\$ 82.00=	:	00
Multiple Dependent Claim(s) (if applicable)					:	+\$270.00=	:	
Total					:	=	:	790.00
Reduction by ½ for filing by small entity					:		:	-
TOTAL FILING FEE					:	=	:	790.00

A

6. ☒ A check in the amount of \$ 790.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:

--This is a ☐ continuation ☒ division of application Serial No. 08/941,187 filed September 30, 1997, which is incorporated herein by reference. --

9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: Lockheed Martin Corporation, 6801 Rockledge Drive, Bethesda, MD 20817.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. § 119. A certified copy  
☐ is enclosed or ☐ is on file in the prior application.
12. ☐ A verified statement claiming small entity status  
☐ is enclosed or ☐ is on file in the prior application.
13. ☒ The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg.

14. ☒ The power appears in the original declaration of the prior application.

15. ☐ Since the power does not appear in the original declaration, a copy of the power in the prior application is enclosed.

16. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

17. ☐ Recognize as associate attorney \_\_\_\_\_  
\_\_\_\_\_  
(name, address & Reg. No.)

18. ☒ Also enclosed is a Request For Approval of Drawing Change w/copy of Fig. 14.

Date: January 8, 1998

(202) 408-4141

September 30, 1997

ATTORNEY DOCKET NO. 06556.0003

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Patent Application  
Title: METHOD AND APPARATUS FOR PAYMENT  
PROCESSING USING DEBIT-BASED ELECTRONIC FUNDS  
TRANSFER AND DISBURSEMENT PROCESSING USING  
- ADDENDUM-BASED ELECTRONIC DATA INTERCHANGE  
Inventor: John POLK

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

1. Application - 61 pages, including 15 independent claims and 126 claims total.
2. Drawings - 22 sheets of drawings.

(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$ 770.00
Total Claims	126-20=	106	x \$ 22.00	\$ 2,332.00
Independent Claims	15-3=	12	x \$ 80.00	\$ 960.00
Multiple Dependent Claim(s) (if applicable)			+\$260.00	\$ 0.00
Total =				4,062.00
Reduction by ½ for filing by small entity				- 0.00
TOTAL FILING FEE =				4,062.00

Assistant Commissioner of Patents  
September 30, 1997  
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Attorney Docket No. 06556.0003

3. Executed Declaration and Power of Attorney.
4. Recordation Form Cover Sheet with Assignment (including \$40.00 fee).
5. A check in the amount of \$4,102.00 to cover the filing fee.

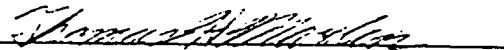
Please accord this application a serial number and filing date.

The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By



Thomas H. Martin

Reg. No. 34,383

THM/frs  
Enclosures

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of: )  
John Polk )  
Serial No.: 08/941,187 ) Group Art Unit: Not Assigned  
Filed: September 30, 1997 ) Examiner: Not Assigned  
For: Method and Apparatus for )  
Payment Processing Using )  
Debit-Based Electronic Funds )  
Transfer and Disbursement )  
Processing Using )  
Addendum-based Electronic Data )  
Interchange )

Assistant Commissioner for Patents  
Washington, DC 20231

REQUEST FOR APPROVAL OF DRAWING CHANGE

Subject to the approval of the Examiner, it is respectfully requested that Figure 14 in the above-captioned application be amended as indicated in red on the attached copy of the originally filed drawing.

If there are any fees due in connection with the filing of this paper, please charge the fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: *Thomas H. Martin*  
Thomas H. Martin  
Reg. No. 34,383

Dated: January 8, 1998

LAW OFFICES

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FARABOW, GARRETT  
& DUNNER, L.L.P.  
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202-408-4000

approved  
ERC  
12-22-98

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JAN 10 1998